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Company Name: USPTO  
Facsimile No.: 703-872-9306 Date: April 30, 2004  
From: William E. Alford, Reg. No. 37,764  
Our Docket No.: 3239P085 Number of pages 39 including this sheet.  
Application: 09/704,458 Filing Date: 11/1/2000

**Subject**

Copy of Previous Office Action Response and return postcard

**Remarks**

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Application No. 09/704,458 Filing Date: 11/01/2000 BSTZ Docket #: 3239.P085 Atty/Sec. WEA/2m  
Date Mailed: 11/05/2003 Docket Due Date(s): 11/11/2003 Client: NORTEL NETWORKS LIMITED  
Title: Connection Protection Between Clients and Optical Cross-Connect Switches

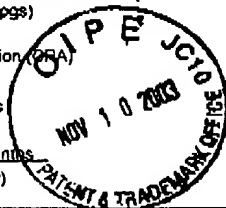
Inventor(s) Erickson Kaligotta Ramaswami

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Amendment: Response ( <u>32</u> pgs)        | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 ( <u>  </u> pgs) |
| <input type="checkbox"/> Appeal Brief & two copies ( <u>  </u> pgs)             | <input type="checkbox"/> Issue Fee Transmittal (original & copy)                       |
| <input type="checkbox"/> Application:<br>( <u>  </u> pages w/ cover & abstract) | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)        |
| <input type="checkbox"/> Application Amended to Reflect Claim of Priority       | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Assignment & Cover Sheet ( <u>  </u> pgs)              | <input type="checkbox"/> Petition for: <u>  </u>                                       |
| <input checked="" type="checkbox"/> Certificate of Mailing                      | <input type="checkbox"/> Request for Continued Examination (RCE)                       |
| <input type="checkbox"/> Continued Prosecution Application (CPA)                | <input type="checkbox"/> Reply Brief ( <u>  </u> pgs)                                  |
| <input type="checkbox"/> Declaration & PDA ( <u>  </u> pgs)                     | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request            |
| <input type="checkbox"/> Drawings: <u>  </u> sheets, <u>  </u> figures          | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter      |
| <input type="checkbox"/> Express Mail No. <u>  </u>                             | <input type="checkbox"/> Terminal Disclaimer   |
| <input checked="" type="checkbox"/> Extension of Time: <u>two (2) months</u>    | <input type="checkbox"/> Transmittal of Formal Drawings                                |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy)           | <input type="checkbox"/> Transmittal of Publication Fee Due                            |
| <input type="checkbox"/> Other <u>  </u>  | <input checked="" type="checkbox"/> Transmittal Letter                                 |
|   | <input checked="" type="checkbox"/> Check No. 14592 in the Amount of \$420.00          |
|   | <input type="checkbox"/> Check No. <u>  </u> in the Amount of <u>  </u>                |

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Assignee: XROS, Inc



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Date: 11/15/03

FOUR HUNDRED TWENTY AND NO/100\*\*\*\*\* DOLLARS \$ \$ 420 00

Director of the United States Patent  
and Trademark Office  
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AMOUNT

\$ 420 00

## DESCRIPTION

2003 U.S. Patent and Trademark Office extension of time fee for  
CONNECTION PROTECTION BETWEEN CLIENTS AND OPTICAL  
CROSS-CONNECT SWITCHES  
Application No. 09/704,459  
Filed: 11/01/2000  
Inventor: Erickson, et al.  
003233.085 WEA/sm  
Nortel Networks Corporation

14592

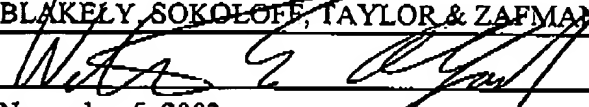
Application No. 09/704,459 Filing Date: 11/01/2000BSTZ Docket #: 3239.P085Any/Sec WEA/smDate Mailed: 11/03/2003Docket Due Date(s): 11/01/2003Client: NORTEL NETWORKS LIMITEDTitle Connection Protection Between Clients and Optical Cross-Connect SwitchesInventor(s) Erickson, Kaligotta, Ramaswami


The following has been received in the U.S. Patent &amp; Trademark Office on the date stamped hereon:

☒ Amendment: Response (32 pgs)☐ Appeal Brief & two copies (   pgs)☐ Application:  
(   pages w/ cover & abstract)☐ Application Amended to Reflect Claim of Priority☐ Assignment & Cover Sheet (   pgs)☒ Certificate of Mailing☐ Continued Prosecution Application (CPA)☐ Declaration & POA (   pgs)☐ Drawings:    sheets,    figures☐ Express Mail No:   ☒ Extension of Time: two (2) months☒ Fee Transmittal (original & copy)☐ Information Disclosure Statement & PTO/SB/08 (   pgs)☐ Issue Fee Transmittal (original & copy)☐ Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)☐ Notice of Appeal☐ Petition for:   ☐ Request for Continued Examination (RCE)☐ Reply Brief (   pgs)☐ Request to Rescind Previous Nonpublication Request☐ Response to Notice of Missing Parts & Formalities Letter☐ Terminal Disclaimer☐ Transmittal of Formal Drawings☐ Transmittal of Publication Fee Due☐ Transmittal Letter

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application No.	09/704,458
		Filing Date	N vember 1, 2000
		First Named Inventor	Kent Erickson
		Art Unit	2874
		Examiner Name	Brian Healy
Total Number of Pages In This Submission	36	Attorney Docket Number	3239P085

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 60px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 <b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b>
Signature	
Date	November 5, 2003

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Susan McFarlane		
Signature		Date	N vember 5, 2003

Based on PTO/SB/21 (09-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 09/11/2003.  
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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

420.00

## Complete if Known

Application Number 09/704,458  
 Filing Date November 1, 2000  
 First Named Inventor Kent Erickson  
 Examiner Name Brian Healy  
 Group/Art Unit 2874  
 Attorney Docket No. 3239p085

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	180	2005	90	Provisional filing fee	
SUBTOTAL (1)					(\$)

## 2. EXTRA CLAIM FEES

Total Claims 60 - 60 = 0 x 18.00 = \$0.00  
 Independent Claims 5 - 5 = 0 x 88.00 = \$0.00  
 Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	43	Independent claims in excess of 3	
1203	280	2203	145	Multiple Dependent claim, if not paid	
1204	88	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or cash	
1052	60	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIF prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIF after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	420.00
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,320	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1480	130	2480	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$)

420.00

## SUBMITTED BY

Name (Print/Type) William E. Alford

Registration No. (Attorney/Agent)

37,764

## Complete (if applicable)

Telephone

(714) 557-3800

Signature

Date

11/05/03

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (w/03/11/2003)  
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